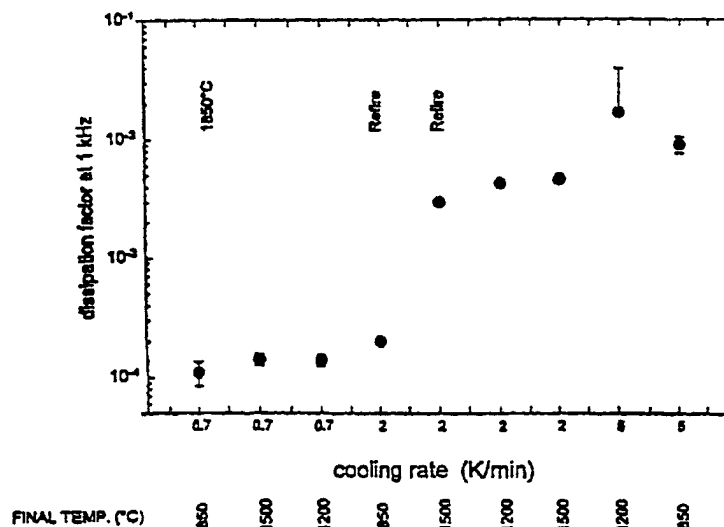




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(54) Title: CONTROLLED DIELECTRIC LOSS ALUMINUM NITRIDE



(57) Abstract

A controlled dielectric loss, sintered aluminum nitride body having a density of greater than about 95 % theoretical, a thermal conductivity of greater than about 100 W/m-K, and a dissipation factor measured at room temperature at about 1 KHz selected from: (a) less than or equal to about 0.001; and (b) greater than or equal to about 0.01. A process for producing a controlled dielectric loss, sintered aluminum nitride body, comprising heat treating an aluminum nitride body at sintering temperatures, including providing a heat treatment atmosphere which effects a selected nitrogen vacancy population in the aluminum nitride body at the sintering temperatures, and cooling the aluminum nitride body from sintering temperatures at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

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CONTROLLED DIELECTRIC LOSS ALUMINUM NITRIDE

Technical Field

5 The present invention generally relates to sintered aluminum nitride bodies having controlled dielectric properties, such as dielectric loss, dielectric constant and DC resistivity. The present invention is particularly related to sintered aluminum nitride bodies for electronic applications having
10 dielectric properties which are selectively controlled to satisfy their intended electronic application.

Background of the Invention

15 Dielectric constant, dielectric loss and DC resistivity are critical properties governing the electrical behavior of insulating materials (dielectric materials) utilized in electronic applications, including electronic packaging applications. In many instances, a low dielectric loss
20 material is desirable, because low dielectric loss reduces absorption and distortion of electrical signals traveling throughout the package. However, there are instances where a high dielectric loss insulating material is desirable. Clearly, the ability to vary and control dielectric loss in
25 electronic packaging materials would potentially be of critical importance.

Dielectric Constant (K), the real part of the relative complex permittivity of a material, is the ratio of the capacitance of
30 a given configuration of electrodes with the material as a dielectric to the capacitance of the same electrode configuration with a vacuum (or air for most practical purposes) as the dielectric. For high speed digital circuitry, the dielectric constant is important, because the
35 transmission speed of a pulse in a circuit in contact with the

dielectric is inversely proportional to the square root of this quantity. Thus, the lower the dielectric constant, the higher the transmission speed that can be maintained. Dielectric constant also affects crosstalk between adjacent
5 signal lines.

Dielectric loss is electrical energy transformed into heat in a dielectric subjected to a changing electric field. Dielectric loss is usually expressed in terms of loss index or
10 dissipation factor.

Loss Index (K'') of a material is the magnitude of the imaginary part of its relative complex permittivity and is proportional to the power dissipated in the material.
15

Dissipation Factor (D) ($\tan \delta$ or loss tangent) is the ratio of the loss index to the dielectric constant (K''/K'). It is also the tangent of the loss angle, δ . The quantity $2 \cdot \omega \cdot D$ (where ω is the angular frequency of the applied AC field) is the time
20 average power dissipated in a material divided by the time average stored electric energy in that material. Dissipation Factor thus gives a measure of the efficiency by which electric energy may be stored in a material medium, and must be kept low for efficient storage or transmission of energy.

25 While dielectric constant affects the speed of an electronic signal, dielectric loss affects absorption of the signal. An electronic signal, being a "packet" of frequencies, is subject to selective absorption of given frequencies, resulting in a
30 distortion of the signal. Low loss dielectric materials, thus having a low dissipation factor, will lower the absorption and/or distortion of the signal. For a dielectric material used in a capacitor or resonator, if the material exhibits high dielectric loss, then some of the energy being stored is
35 lost. On the other hand, a high dielectric loss material

stabilizes "ringing", that is, reduces voltage oscillation at the leading and trailing edges of rectangular voltage pulses.

Higher dielectric constant materials in graded structures and
5 higher dielectric loss materials are also used at the edges of metallized regions carrying high voltages to reduce voltage gradients and AC corona.

Dielectric loss is typically a strong function of both
10 frequency and temperature. At low frequency (typically less than a few megahertz) and/or high temperature, interfacial polarization effects (caused by charge carriers that are free to move over greater than atomic distances) dominate dielectric loss. At radio frequencies (RF), microwave
15 frequencies and infrared frequencies, dipolar interactions dominate dielectric loss.

Dielectric Strength is the maximum electric field that a dielectric can withstand, without resulting in a voltage
20 breakdown.

A corresponding property is Breakdown Voltage, that is, the voltage necessary to cause the passage of appreciable electric current without a connecting conductor, commonly used to
25 express the voltage at which an insulator fails to withstand the voltage and ceases to behave as an insulator.

Aluminum nitride (AlN) ceramic, particularly in the form of sintered bodies, is an insulator, or dielectric material, that
30 has great potential for electronic applications, particularly electronic packaging. Aluminum nitride in a dense, sintered form possesses a high thermal conductivity, greater than alumina (a conventional, commercial electronic packaging dielectric) and approaching that of beryllia (an electronic
35 packaging dielectric material having toxicity considerations).

Further, aluminum nitride possesses a coefficient of thermal expansion close to that of silicon and gallium arsenide, a high flexural strength, a high hardness, chemical inertness and nontoxic behavior.

5

Due to its high thermal conductivity, aluminum nitride is usually used in applications where high heat dissipation is required and where the ceramic would be required to operate at elevated temperature. Aluminum nitride substrates are useful
10 where high heat dissipation is required in a microelectronic package, such as in a multilayer metal-ceramic package for high power devices. For example, in microelectronic applications the current required operating temperature ranges from approximately 75 to 200°C. The required temperatures
15 could increase substantially when semiconductors currently under intensive study (such as silicon carbide) come into commercial use.

Aluminum nitride ceramics are also useful for other electronic
20 applications which require heat tolerance and electrical insulating properties. An example would be in electrostatic chucks, where the operating temperature range is 400 - 500°C.

Prepared from aluminum nitride powders, in order to achieve
25 suitable properties the ceramic must achieve a certain density, at least about 90%, preferably greater than or equal to about 95%, of theoretical. Aluminum nitride decomposes below the temperature required to sinter it to maximum density. However, densification can be achieved at lower
30 temperatures by the use of sintering aids.

Sintering aids liquify at temperatures below the decomposition and pure compound sintering temperatures for the ceramic, and promote densification of the ceramic grains. At the later
35 stages of the liquid sintering cycle, microstructure is

refined via grain growth and coalescence processes. Microstructure and grain size can have an effect on dielectric properties.

- 5 Sintering aids also function to increase thermal conductivity of the sintered aluminum nitride body by gettering oxygen from the aluminum nitride powder. Thus, an effective sintering additive forms a liquid capable of dissolving and reprecipitating aluminum nitride, without depositing
10 significant amounts of oxygen in the densifying ceramic.

All commercially available aluminum nitride powders contain oxygen as an impurity. This oxygen primarily takes two forms in the powder, as a native alumina coating on each of the
15 powder particles, and as dissolved oxygen impurity within the crystalline lattice of the aluminum nitride particles. A minor amount will be tied up as an oxide of any metal impurities which may be present.

- 20 At a given sintering temperature, only a certain amount of oxygen, primarily from native alumina and secondarily from other sources, will be available for reaction (hereinafter "available oxygen"). A certain amount of oxygen will remain bound up in the aluminum-nitrogen crystalline lattice, and
25 thus a true stoichiometric ratio of aluminum to nitrogen is not achieved. The resulting sintered, polycrystalline aluminum nitride exhibits properties, including dielectric properties, which deviate from those which would be exhibited by pure, single crystal AlN.

30 Sintering aids for AlN which have been disclosed in the art include Group IIa, Group IIIa, and/or rare earth compounds, including calcia and yttria, among others. Resulting AlN sintered bodies are disclosed to also contain second phase
35 materials, including alkaline earth-aluminates, Group IIIa-

aluminates, rare earth-aluminates, ternary oxides of aluminum and at least two sintering aid metals, and AlON.

U.S. Patent No. 4,618,592 discloses the use of sintering aids
5 for aluminum nitride which are at least one metal element
selected from alkaline earth metals, lanthanum group metals
and yttrium or a compound thereof. The patent further
discloses that bound oxygen and impurity content affect the
light transmitting property of the resulting sintered body,
10 and that preferably, the bound oxygen content is at most 1.5%
by weight.

Conventional aluminum nitride ceramics possess a dielectric
constant of between 8.4 and 9 as compared to 10.1 for alumina,
15 and a dissipation factor, measured at room temperature at a
frequency of 1KHz, of between 0.001 and 0.01. These materials
typically have been reported to have a direct current (DC)
electrical resistivity of 10^{14} ohm-cm. Heretofore, it has not
been known what functionality within the AlN controls the
20 dielectric loss and DC resistance.

Dielectric properties of aluminum nitride materials have been
modified by the addition of different materials to the
ceramic, such as transition metal addition as reported in
25 Kasori et al. "Effects of Transition Metal Additions on the
Properties of AlN" J. Am. Ceram. Soc. 77 [8] 1991-2000 (1994),
or blending of dielectric materials as disclosed in US Patents
4,796,077 and 4,960,734.

30 The layering of various insulating and non-insulating
materials has also been shown to affect dielectric properties,
including dielectric breakdown voltage, as disclosed in US
Patent No. 4,783,368. Aluminum nitride has been disclosed in
US Patent No. 5,070,046 as a ceramic filler for dielectric
35 compositions, such as borosilicate glass and cordierite glass,

which exhibit low dielectric constant and low dielectric loss.

US Patent No. 5,320,990 discloses the development of thermal conductivity of sintered aluminum nitride of greater than 200W/M-K by sintering aluminum nitride powder and a powdered sintering aid in the presence of nitrogen gas, including heating to the sintering temperature at a rate of greater than 0°C to about 6°C per minute, maintaining at the sintering temperature to obtain greater than 95% theoretical density, and cooling the sintered body in a vacuum or an inert gas to 1400°C at a rate of greater than 0°C to about 6°C/minute, before cooling to ambient. This document disclosed that a prior art patent, 4,778,778, taught the heating of a compacted AlN body at no more than 250°C per hour and the cooling of the sintered AlN at a rate of no more than 300°C/per hour, preferably 100°C-275°C/hr.

Summary of the Invention

We have discovered that the dielectric properties, including DC resistivity, of aluminum nitride can be selectively controlled to produce sintered aluminum nitride bodies having dielectric characteristics which are tailored to the intended use of the aluminum nitride bodies. We have found that selected dielectric properties of sintered aluminum nitride can be effected during the sintering of the aluminum nitride green body, and/or by a post-sintering heat treatment of the aluminum nitride sintered body.

We have found that the nitrogen vacancy population of the aluminum nitride polycrystalline lattice affects the dielectric properties of the sintered aluminum nitride body, such as dielectric constant, dielectric loss, DC resistivity, dielectric strength and breakdown voltage. A nitrogen population, varying from the sintered equilibrium population,

can be "quenched" or "frozen" into the sintered body, imparting the desired properties. Therefore, sintered aluminum nitride bodies having a selectively controlled nitrogen vacancy population, characteristic of the desired dielectric properties, can be prepared.

The present invention, therefore, provides a process for producing a controlled dielectric loss, sintered aluminum nitride body, including providing a sintering atmosphere which effects a selected nitrogen vacancy population in the aluminum nitride body at sintering temperatures, and cooling the aluminum nitride body from sintering temperatures at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

The present invention further provides a controlled dielectric loss sintered aluminum nitride body produced by providing a sintering atmosphere which effects a selected nitrogen vacancy population in the aluminum nitride body at sintering temperatures, and cooling the aluminum nitride body from sintering temperatures at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

The present invention also provides a process for producing a controlled dielectric loss, sintered aluminum nitride body, including exposing a sintered aluminum nitride body to an atmosphere which effects a selected nitrogen vacancy population in the aluminum nitride body at elevated temperature, and cooling the aluminum nitride body from the elevated temperature at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

The present invention also provides a controlled dielectric loss sintered aluminum nitride body produced by exposing the sintered aluminum nitride body to an atmosphere which effects a selected nitrogen vacancy population in the aluminum nitride
5 body at elevated temperature, and cooling the aluminum nitride body from the elevated temperature at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

10 In general, therefore, the present invention provides a process for producing a controlled dielectric loss, sintered aluminum nitride body, comprising heat treating an aluminum nitride body at sintering temperatures, including providing a heat treatment atmosphere which effects a selected nitrogen
15 vacancy population in the aluminum nitride body at said sintering temperatures, and cooling the aluminum nitride body from said sintering temperatures at a controlled rate and in a cooling atmosphere effective to control the selected nitrogen vacancy population.

20

In one embodiment, the inventive process includes controlling at least one of said cooling rate to a quench temperature and said cooling atmosphere, to maintain a nitrogen vacancy population below the quench temperature greater than the
25 sintered aluminum nitride body conventional nitrogen vacancy population below said quench temperature.

In another embodiment, the inventive process includes controlling at least one of said cooling rate to a quench
30 temperature and said cooling atmosphere, to reduce the nitrogen vacancy population below the quench temperature to less than the sintered aluminum nitride body conventional nitrogen vacancy population below said quench temperature.

The present invention further provides a controlled dielectric loss, sintered aluminum nitride body having a density of greater than about 95% theoretical, a thermal conductivity of greater than about 100 W/m-K, and a dissipation factor measured at room temperature at about 1 KHz selected from:

- (a) less than or equal to about 0.001; and
- (b) greater than or equal to about 0.01.

In one embodiment, the present invention provides a high dielectric loss sintered aluminum nitride body, having a dissipation factor measured at room temperature at about 1 KHz of greater than about 0.01, preferably greater than about 0.1.

The present invention further provides a preferred high dielectric loss sintered aluminum nitride body, having a dissipation factor measured at room temperature at about 1 KHz of at least about 0.5.

In another embodiment, the present invention provides a low dielectric loss sintered aluminum nitride body, having a dissipation factor measured at room temperature at about 1 KHz of less than about 0.001, preferably less than about 0.0003.

Brief Description of the Drawings

Fig. 1 is a graphical representation of the effect of cooling rate and the low temperature limit of controlled cooling on the dissipation factor of aluminum nitride ceramic.

Fig. 2 is a graphical representation of the effect of cooling atmosphere and cooling rate on the dissipation factor of sintered aluminum nitride ceramic.

Fig. 3 is a graphical representation of the effect of cooling rate after heat treatment on dissipation factor of sintered

aluminum nitride ceramic.

Fig. 4 is a graphical representation of the relationship between dissipation factor and breakdown strength of sintered aluminum nitride ceramic.

Fig. 5 is a graphical representation of the effect of cooling rate and cooling atmosphere on the dissipation factor of sintered aluminum nitride ceramic measured at various frequencies.

Fig. 6 is a graphical representation of the effect of cooling rate on the room temperature electrical resistance of aluminum nitride ceramic.

Fig. 7 is a Weibull plot of the statistical effect of cooling rate on the electrical breakdown strength of aluminum nitride ceramic, the Weibull modulus shown for each curve.

Fig. 8 is a graphical representation of the temperature dependence of dielectric constant at four frequencies for slow cooled aluminum nitride ceramic.

Fig. 9 is a graphical representation of the temperature dependence of loss index at four frequencies for slow cooled aluminum nitride ceramic.

Fig. 10 is a graphical representation of the effect of cooling rate on the temperature dependence of the DC resistivity of aluminum nitride ceramic.

Fig. 11 is a graphical representation of the temperature dependence of dielectric constant at four frequencies for fast cooled aluminum nitride ceramic.

Fig. 12 is a graphical representation of the temperature dependence of loss index at four frequencies for fast cooled aluminum nitride ceramic.

5 Detailed Description of the Invention

The present invention is directed to controlled dielectric loss sintered aluminum nitride bodies having a density of greater than about 90% of theoretical, preferably greater than
10 about 95% of theoretical, and having a thermal conductivity greater than about 100 W/mK. Preferably, the controlled dielectric loss sintered aluminum nitride bodies have a density of about 97% theoretical, or greater. When using sintered aluminum bodies less than 95% dense, care must be
15 taken to avoid uptake of water or water vapor, because water can increase dielectric loss.

It is preferred that the sintered aluminum nitride bodies of the present invention contain a discrete second phase. That
20 is, in preparing the sintered bodies using sintering aids, the sintering temperature reaches a maximum prior to either the continuous wetting of the ceramic by the sintering aid or the vaporization of all sintering aid from the sintered body. However, the invention is also applicable to very high thermal
25 conductivity aluminum nitride bodies in which most of the second phase has been removed by extended sintering.

If second phase is present in the sintered aluminum nitride body, it is preferably discontinuous, that is, dewetted from
30 the aluminum nitride grains. The second phase preferably contacts the aluminum nitride grains at a dihedral angle sufficient to provide a microstructure which is discontinuous, preferably providing a resistivity of at least $10^8 \Omega\text{-cm}$. In some embodiments the dihedral angle is greater than about 30° ,
35 most preferably greater than about 80° .

- Components of the mixture which is sintered to form the sintered aluminum nitride polycrystalline body include aluminum nitride powder, preferably having a low oxygen content (such as F-grade sold by Tokuyama Soda), a conventional binder used to help form a green body such as polyvinylbutyral (PVB), and at least one sintering aid in an amount effective to remove available oxygen from the aluminum nitride under sintering conditions.
- 10 The sintering aid may conventionally comprise at least one of a) at least one element or metal compound of Group IIa, b) at least one element or metal compound of Group IIIa or the rare earths (lanthanides), or c) mixtures of sintering aid components a) and b). The Group IIa, IIIa, or rare earth metal
- 15 compounds are preferably metal oxides. Alternatively, one or both components are compounds convertible to the metal oxide in the sintering environment and which have no constituents which would be deleterious to the properties desired in the sintered AlN body. The metal oxide must be capable of
- 20 reacting at a temperature within the sintering regime with alumina from the AlN grains to form a second phase in the sintered body. An example of a suitable metal oxide of Group IIa is calcia and of Group IIIa is yttria.
- 25 Group IIa metals that provide the desired second phase and, compounds of which can be used as effective sintering aids in the present invention, include Ca, Sr, Ba and mixtures thereof. Group IIIa or rare earth metals that provide the desired second phase and, compounds of which can be used as
- 30 effective sintering aids in the present invention, include Y, La, Ce, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, and mixtures thereof. Compounds of the above metals which may function as sintering aids for aluminum nitride according to the present invention include but are not limited to oxides,
- 35 carbonates, nitrates, sulfates, fluorides and mixtures

thereof.

According to the present invention, sintered aluminum nitride bodies can be prepared which exhibit a dissipation factor at
5 about 1 KHz and at room temperature of a) greater than 0.01, or b) less than 0.001, compared to conventional aluminum nitride ceramics which exhibit dissipation factors of between 0.001 to 0.01. We have discovered that dielectric loss in
10 aluminum nitride can be selectively controlled by controlling the nitrogen vacancy population within the aluminum-nitrogen polycrystalline lattice.

Conventional sintered aluminum nitride has a characteristic nitrogen vacancy population which is determined by the
15 equilibrium nitrogen vacancy population at a temperature between the sintering temperature and somewhat less than about 1600°C in a nitrogen containing atmosphere.

We have found that sintered aluminum nitride having a higher
20 nitrogen vacancy population than the conventional nitrogen atmosphere-sintered, room temperature-cooled, equilibrium nitrogen vacancy population, exhibits higher dielectric constant, higher dielectric loss and a higher dissipation factor than does the conventional aluminum nitride having the
25 conventional nitrogen vacancy population. Conversely, we have found that sintered aluminum nitride having a lower nitrogen vacancy population than the conventional sintered population exhibits lower dielectric loss and a lower dissipation factor than does conventional aluminum nitride having the
30 conventional nitrogen vacancy population.

We have further found that, during sintering of the aluminum nitride green body, or in a post sintering heat treatment (refire) of the aluminum nitride sintered body, nitrogen
35 vacancy population is affected by the temperature and the

atmosphere to which the aluminum nitride is exposed. Further, the nitrogen vacancy population of a sintered aluminum nitride body is dependent upon the exposure of the body to nitrogen at a temperature high enough to cause transport of nitrogen into or out of the polycrystalline lattice of the body.

During sintering of the aluminum nitride green body, or in a post sintering heat treatment of the aluminum nitride sintered body, the higher the temperature and/or the lower the nitrogen partial pressure to which the aluminum nitride body is exposed, the greater the population of nitrogen vacancies that is formed in the material.

In conventional aluminum nitride processing, which includes sintering in a nitrogen atmosphere followed by a relatively slow cooling procedure, on the order of greater than about 5 K/minute, nitrogen which is driven out of the body is replaced with a thermally aided nitrogen diffusion back into the aluminum-nitrogen lattice. Although there is practically never a stoichiometric 1:1 ratio of aluminum to nitrogen reached because of the presence of residual bound oxygen in the lattice as well as metal ion impurities, in a conventionally sintered and cooled aluminum nitride body, a conventional nitrogen vacancy population characteristic of some nitrogen diffusion-limiting temperature (i.e., less than about 1600° C) reached during cooling is "frozen in" and results in the conventional range of dielectric loss and dissipation factor for aluminum nitride.

We have also found that selectively controlling the cooling rate and/or cooling atmosphere after sintering or heat treatment of the sintered aluminum nitride body can control the nitrogen vacancy population which is "frozen in" or which remains in the cooled body, to effect the desired dielectric properties of dielectric loss, dissipation factor, dielectric

constant and DC resistivity.

For example, during sintering, the higher the sintering temperature that is used, the higher the nitrogen vacancy population that is created at temperature. If the body is cooled or "quenched" rapidly enough, a high nitrogen vacancy population is frozen in the ceramic, characteristic of that temperature below the sintering temperature at which the vacancy population is frozen in, resulting in a high loss dielectric.

If, however, after sintering at that temperature, the body is cooled at a conventional rate, the nitrogen diffuses back into the material until the rate of diffusion becomes too slow, that is, the rate of relaxation of the nitrogen diffusion process becomes lower than the rate of temperature change, and a conventional nitrogen vacancy population is reached.

To achieve very low dielectric loss aluminum nitride, we have slowed the cooling rate from sintering temperature significantly, and thus reached a lower temperature (than is characteristic of conventional aluminum nitride cooling processes) before the nitrogen vacancy population is frozen, or quenched, in the ceramic material. The lower quench temperature has enabled us to achieve a very low nitrogen vacancy population in the aluminum nitride ceramic body, and to produce very low dielectric loss, low dissipation factor aluminum nitride.

In addition to the selective control of dielectric loss during the sintering process, we have found that the dielectric loss properties of a sintered aluminum nitride body can be altered by a post sinter/cool heat treatment. For example, a high or a conventional dielectric loss material can be heat treated to equilibrate with a nitrogen containing atmosphere, followed by

a slow cooling procedure in the presence of nitrogen, to be converted to a low dielectric loss material.

Conversely, a low or a conventional dielectric loss material
5 can be heat treated under conditions that will drive nitrogen out of the lattice, such as a low partial pressure of nitrogen atmosphere, an inert gas such as argon, or a vacuum. A fast quench step under similar atmospheric conditions will freeze the resulting nitrogen vacancies in the ceramic to achieve a
10 high dielectric loss material. Similar atmospheric conditions, and a fast quench procedure can selectively produce high dielectric loss aluminum nitride. Even in a nitrogen atmosphere, sufficiently fast cooling from sintering temperatures after heat treatment will result in a high
15 dielectric loss aluminum nitride material.

At the sintering (or refire) temperature, either an equilibrium or nonequilibrium nitrogen vacancy population may exist in the aluminum nitride. For the equilibrium population
20 case (where at least some amount of nitrogen gas must be present in the processing atmosphere), as the body is cooled, the nitrogen vacancy population remains in equilibrium until some lower temperature where it freezes in at approximately the value it will have at room temperature. Depending on the
25 cooling rate either high or low dielectric loss material results, but not as high a dielectric loss material as would be produced in a 100% inert (argon) atmosphere.

The non-equilibrium case is caused either by too low a
30 concentration of nitrogen gas for the processing temperature or by not enough time at the processing temperature. If there is some nitrogen gas in the processing atmosphere, the nitrogen concentration in the aluminum nitride material will increase during cooling until the temperature becomes low
35 enough for that cooling rate to freeze in a nitrogen vacancy

population. (Equilibrium may or may not have been reached at some point during cooling.) The resulting aluminum nitride material may be high or low dielectric loss material at room temperature, depending on the nitrogen gas partial pressure and the cooling rate. When a 100% argon atmosphere is used as the cooling atmosphere, a high dielectric loss material should, in principle, result. With slow cooling rates, it is possible that intermediate (normal) dielectric loss values may result, probably due to small levels of nitrogen impurity in the argon gas or to the back pressure of nitrogen diffusing out of the aluminum nitride material.

According to the present invention, low dielectric loss sintered aluminum nitride bodies are produced by sintering the aluminum nitride green body at the sintering temperature permitted by the particular sintering aid present in the green body. For example, when the sintering aid is yttria, the preferred sintering temperature is about 1825°C to about 1850°C. When sintering is completed, the sintered body is cooled slowly in an atmosphere having a high nitrogen partial pressure, until the quenching temperature is reached, at greater than about 850°C to less than about 1500°C.

The cooling rate which permits relaxation of the nitrogen diffusion process to restore nitrogen to the aluminum-nitrogen lattice and reduce the nitrogen vacancy population is less than 5 K/minute, preferably less than or equal to about 1 - 2 K/minute, most preferably less than about 0.75 K/minute. We have achieved sintered aluminum nitride ceramics having a dielectric loss as low as about 1×10^{-3} to about 1×10^{-5} with this process. Fig. 1 demonstrates the effect of cooling rate on the dissipation factor of aluminum nitride ceramic sintered at 1825°C to 1850°C for four hours. Fast cooling to less than 1500°C resulted in high dielectric loss, high dissipation factor aluminum nitride, while slow cooling to 1500°C or less

resulted in a low dielectric loss, low dissipation factor aluminum nitride.

In addition to controlled cooling rate, the temperature to
5 which the controlled cooling is effected may have an effect on
the nitrogen vacancy population of the resulting ceramic.
While we have shown that very rapid cooling, on the order of
about 15K/min or greater, from the sintering temperature
results in a high nitrogen vacancy population, high dielectric
10 loss material, the results of intermediate to slow cooling
rates are affected to some degree by the temperature to which
the controlled cooling is carried out.

Further with reference to Fig. 1, cooling at 0.7K/min to
15 1500°C produced low dielectric loss material, while cooling at
that rate to 1600°C followed by rapid cooling (not shown)
produced a high dielectric loss material. Cooling at 2K/min
to 1200°C - 1500°C produced intermediate loss material, while
cooling at 2K/min to 850°C to about 1000°C produced low
20 dielectric loss material.

The cooling rate need not be maintained at the slow rate
throughout the entire temperature range above the quench
temperature, but only for a period of time and at a
25 temperature above the quench temperature which permits the
nitrogen vacancy population to be reduced by nitrogen
diffusion into the lattice. For example, a fast cool-slow
cool-fast cool procedure can be used through the quench
temperature to achieve the desired effect (provided that the
30 quench occurs during the slow cool period). It is preferred,
however, to maintain a slow cooling rate initially until
relaxation of the diffusion process is completed, with a
subsequent faster drop to ambient in order to save time and
energy.

A cooled, sintered aluminum nitride body exhibiting conventional or high dielectric loss can be converted to low dielectric loss according to the present invention by a post-sinter heat treatment followed by the above described slow cool to quench in a nitrogen containing atmosphere until the quench temperature is reached. For example, a sintered high loss aluminum nitride body can be converted to a low loss body by heat treatment at about 1650°C - 1850°C or higher, preferably about 1725°C - 1850°C, followed by a slow cool in a nitrogen atmosphere until the quench temperature is reached. Fig. 1 also demonstrates the effect of cooling rate on dissipation factor of sintered aluminum nitride heat treated at 1825°C for 4 hours. Cooling slow to less than 1500°C after refire resulted in a low dielectric loss, low dissipation factor aluminum nitride.

To obtain a high dielectric loss sintered aluminum nitride body, the green body is sintered at a high temperature in a nitrogen containing atmosphere, as sintering in the absence of nitrogen would cause the body to vaporize. After sintering is completed, it is preferable to cool the sintered body in a nitrogen-free atmosphere, such as argon or a similar inert gas, in the temperature range above quench at which the body will not be vaporized, until the quench temperature is reached. The sintered body can also be cooled in a nitrogen containing atmosphere, but at a fast cool rate to prevent diffusion of nitrogen back into the lattice. The cooling rate which would prevent the nitrogen vacancies from being eliminated is greater than or equal to about 5 K/minute, preferably greater than or equal to about 15 K/minute. Fig. 2 demonstrates the effect of atmosphere and cooling rate on the dissipation factor of sintered aluminum nitride ceramic, as reported in Table I for examples 8, 16 and 48 for fast cooling and examples 20, 25 and 49 for slow cooling. Fast cool in 100% nitrogen resulted in a high dissipation factor, while

a slow cool in nitrogen resulted in a low dissipation factor. Fast or slow cool in a nitrogen deficient atmosphere resulted in a higher dissipation factor.

- 5 As an example, an aluminum nitride green body containing an effective amount of calcium carbonate sintering aid was sintered at about 1700°C with a slow cool to quench. The resulting sintered body, without the addition of a colorant, exhibited a dielectric loss of 0.6×10^{-3} , and had a grey color
- 10 instead of the light tan color of conventional aluminum nitride ceramic. High dielectric loss aluminum nitride ceramics, utilizing a yttria sintering aid and prepared with a fast cool after sintering according to the present invention had a brown color without the introduction of a colorant. Low
- 15 dielectric loss aluminum nitride ceramics utilizing a yttria sintering aid, prepared with a slow cool after sintering according to the present invention, were substantially white to ivory in color.
- 20 A cooled, sintered aluminum nitride body exhibiting conventional or low dielectric loss can be converted to high dielectric loss according to the present invention by a post-sinter heat treatment followed by a fast cool to quench in a conventional nitrogen atmosphere, or a cool in a nitrogen
- 25 deficient atmosphere (very low nitrogen partial pressure such as less than about 0.1%, or argon or other inert gas, or a vacuum) until quench temperature is reached. Fig. 3 demonstrates the effect of cooling rate after a 1750°C heat treatment on dissipation factor of sintered aluminum nitride
- 30 ceramic. A fast cool rate after heat treatment resulted in a higher dissipation factor, while a slow cool after heat treatment resulted in a low dissipation factor.

The hold time at the heat treatment temperature may be minimal

35 for a heat treatment temperature greater than or equal to

about 1750°C, as the equilibration of nitrogen between the atmosphere and the aluminum nitride body is rapid above that temperature. At lower temperatures the equilibration rate will be lower and a longer excursion at heat treatment

5 temperatures might be required. The cool rate and atmosphere control the back diffusion of nitrogen and the elimination of the vacancies. The selective control of these factors provides the control of the dielectric loss of the ceramic.

10 We have found that high dielectric loss aluminum nitride also exhibits an enhanced high dielectric strength and high breakdown voltage. For example, high dielectric loss sintered aluminum nitride about 0.025 inches (0.64 mm) thick, cooled in argon according to the present invention, exhibited a
15 breakdown voltage of greater than 40 KV/mm, compared to less than 29 KV/mm for conventional to low loss dielectric aluminum nitride. This is advantageous, in that the higher the breakdown voltage or dielectric strength of a ceramic, the thinner that the ceramic can be made for a given application,
20 saving on space, material, and weight. Fig. 4 demonstrates the relationship between dissipation factor and breakdown strength of sintered aluminum nitride ceramic. High dielectric loss, high dissipation factor aluminum nitride exhibited a higher breakdown strength than low dielectric
25 loss, low dissipation factor aluminum nitride. Not all of the high loss parts could be broken down at the maximum available voltage so these points are annotated with an up arrow indicating that some are lower limits on the breakdown voltage.

30

An application at low frequency for low dielectric loss aluminum nitride sintered ceramic is for electrostatic chucks. Electrostatic chucks are useful in the semiconductor fabrication industry, for the processing of a semiconductor
35 wafer. During processing, the wafer must be firmly held.

Mechanical grips cover a part of the wafer, preventing that portion from being processed. Also, mechanical grips create debris that deteriorates the wafers.

- 5 Electrostatic grips, having embedded electrodes in an insulating material, can hold the silicon wafer while exposing an entire surface for processing. The aluminum nitride ceramic is inert in the wafer processing plasmas, which may contain ionic fluorine. The aluminum nitride further conducts
10 heat of the process away from the wafer. However, if the dielectric loss of the aluminum nitride is conventional, or high, it will not function electrostatically at the electrostatic chuck operating temperature (400 - 500°C) due to the low electrical resistivities of aluminum nitride at those
15 temperatures. Resistivity of slow cooled aluminum nitride in this temperature range is at least a factor of 100 higher and therefore, the low dielectric loss aluminum nitride meets this requirement.
- 20 Examples of sintered aluminum nitride ceramic bodies according to the present invention, and methods of their preparation and testing are set forth below. These examples are representative of the invention, but it is not intended that the present invention be limited to these examples.

25

DISSIPATION FACTOR

- Dielectric loss and dissipation factor can only be measured by applying a load, or voltage, to the material to be tested. Set forth below are electrode and pressure contact alternating
30 current (AC) loss measurement procedures useful in their determination.

- Techniques for measuring dielectric properties of electronic materials are described in ASTM Standard D150-92 "A-C Loss
35 Characteristics and Permittivity (Dielectric Constant) of

Solid Electrical Insulating Materials". Instruments used to measure capacitance and dissipation factor for the examples contained herein were General Radio (now QuadTech) 1621 Capacitance Measuring System, Andeen-Hagerling Model 2500A
5 Capacitance Bridge, and Hewlett-Packard 4278A Capacitance Meter. These instruments were checked using standard capacitors and standard dielectric materials to verify their accuracy.

- 10 Standard D 150 (Sec.6) recommends metal electrodes in intimate contact with a flat plate-like sample as generally the most accurate geometry to determine material dielectric properties. This method was used for the examples contained herein with sputtered gold or baked silver paint electrodes. As prescribed
15 in the Standard, geometrical corrections were made to the measured capacitance data to determine material dielectric constant. No corrections are required for dissipation factor when the electrode diameter is smaller than the sample diameter and the measured data was used directly. These
20 measurements and calculations were checked using standard dielectric materials NIST Standard Reference Material (SRM) 774 (Glass Dielectric) and single crystal sapphire.

Section 6.2.7 of D 150 states that spring loaded metal
25 contacts can be used for dielectric measurements on smooth samples of adequate dielectric thickness. This pressure contact measurement technique was 771Xthe ends of

cylindrical rods with thick foam tape. These rods were
30 mounted in a fixture so that the copper disks were forced against the faces of the 0.5 inch diameter samples with a force of 3 lb. The flexibility of the copper and the resilience of the foam compensated for any slight non-parallelism in the sample faces.

Through the use of NIST SRM 774 samples, sapphire samples and aluminum nitride samples characterized using more accurate techniques, it was demonstrated that this pressure contact configuration was capable of measuring dissipation factor with an accuracy of approximately 0.0002 below 0.001 and about 10% above 0.001 at 1 kHz and 1 MHz. This technique is less capable of measuring dielectric constant with sufficient accuracy for some applications. However, since the pressure contact method was more convenient and its accuracy for dissipation factor was adequate, it was generally used for samples reported herein, except where dielectric constant was also of interest or for analyzing very low or very accurate values of dissipation factor. All data shown as a function of temperature in the Tables below, was taken with sputtered gold contacts.

DIELECTRIC STRENGTH

Dielectric strength is typically measured by clamping a ceramic plate between two disk shaped metal electrodes in an oil bath (to prevent air breakdown) and applying voltage at power line frequency (60 Hz) to the electrodes. The voltage is then ramped up at a fixed rate until electric field induced breakdown occurs, punching a hole through the sample and causing a high current flow. The measurement technique is described in ASTM D149-93a, Standard Test Method for Dielectric Breakdown Voltage and Dielectric Strength of Solid Insulating Materials at Commercial Power Frequencies.

Almost all applications for aluminum nitride sintered electronic package substrates require some minimal value of dielectric strength to prevent shorting between power and ground. However, most involve only low voltage power and the thicknesses required to provide adequate mechanical strength also provide adequate voltage breakdown values. In high voltage applications, however, such as hybrid power modules

for motor controllers, and insulating disks which connect power semiconductors to heat sinks in modules which power electric trains, operating voltages currently reach 3000 volts rms. This requires breakdown voltages for the aluminum nitride substrate of over 10,000 volts rms. Current commercial aluminum nitride can meet this standard in thicknesses on the order of a millimeter, but higher voltages and/or requirements for thinner aluminum nitride substrates (for weight savings or higher thermal conductance) could result in parts failure due to voltage breakdown. Similar applications using alumina have been moving to thinner (about 0.25mm) ceramic to improve thermal performance, for which voltage breakdown is an increasing concern. This is an area where large safety margins are desirable since failures can be catastrophic and dangerous.

We originally expected that the lowest dissipation factor aluminum nitride material would also have the highest dielectric strength, since the smaller current flow would minimize charge buildup (and thus electric field) at defects. However, as shown in Fig. 4, it was the highest dissipation factor material that had the highest dielectric strength. Without intending to be bound by theory, this may be due to the higher conductance preventing field buildup at defects by bleeding charge away. The samples tested were about 0.64 mm thick. For the material tested having the highest dissipation factor, the maximum voltage tested (25 kV) was not high enough to consistently break the parts down. Some of the points with the highest dissipation factor are therefore lower limits on breakdown strength, as indicated by the up-arrow in the graph.

The high dissipation factor samples were heat treated in argon and fast cooled (Example 51, below). The intermediate samples were as received from standard aluminum nitride production, and the low loss samples were heat treated in nitrogen and

slow cooled (Example 52, below). All samples were taken from the same two production runs. As discussed above, Fig. 2 shows the effect of nitrogen concentration on dissipation factor and Fig. 6 shows the effect of cooling rate and atmosphere on room temperature DC resistance. In Fig. 6, data represented for fast cool, slow cool and medium cool rates is taken from Examples 16, 17 and 22, respectively. Resistance measurements were taken by applying a voltage, and measuring the current after a set period of time, either 5 seconds or 60 seconds. Faster cool rates (and nitrogen deficient atmospheres) lower the DC resistance in aluminum nitride.

Measured dielectric strength of solid materials is normally dependent on sample thickness; the thinner the sample, the higher the dielectric strength. Frequently dielectric strength is inversely proportional to the square root of thickness. Test samples reported herein have been about 0.635 mm thick, but thinner samples are required to reliably cause breakdown with the maximum voltage of 25kV.

The authors of Kasori et al. (J. Am. Ceram. Soc. 77 (8) 1991-2000 (1994)) do not report the sample thickness, but from their reported rate-of-rise of voltage, it can be assumed that they were less than 0.635 mm. It should be noted that dielectric breakdown is a statistical process and several samples are required to definitively describe breakdown strength. The average breakdown strength reported in the paper is approximately equal to the average breakdown strength of standard, 0.635 mm thick aluminum nitride substrate production samples.

However this voltage breakdown process is more properly described using Weibull statistics, where breakdown strength of parts is characterized by the electric field at which a small percentage (frequently 5%) of the parts are expected to

fail and the Weibull modulus, which describes the width of the statistical distribution of breakdown fields. The Weibull modulus is the slope of the best fit line through the experimental breakdown field points on a Weibull plot. The higher the value of the Weibull modulus, the tighter the distribution of breakdown fields and the higher the product reliability for a given breakdown voltage design point. For the aluminum nitride parts described in the referenced paper, the Weibull modulus is seven and the breakdown field for five percent failure is about 18 kV/mm.

To determine the statistical properties of parts produced to have controlled dielectric loss, 24 slow cooled, low loss parts (runs equivalent to Example 52) and 11 fast cooled, high loss parts (runs equivalent to Example 51) were subjected to breakdown voltage tests. The thickness of these samples was 0.25 mm to allow breakdown at 25 kV or less. The results are displayed as Weibull plots in Fig. 7. The slow cool samples have a breakdown field (5% failure) of about 39 kV/mm with Weibull modulus of 16, while the fast cool samples have breakdown field (5% failure) of about 53 kV/mm with Weibull modulus of 28. The fast cool, high dielectric loss samples have a substantial advantage in breakdown field and Weibull modulus, allowing thinner and/or more reliable devices to be constructed. Due to the higher Weibull modulus, this advantage would increase for high reliability applications, where allowable failure rates much lower than 5% would be required.

Other measurements indicated that near and above one megahertz and in the microwave region (12 GHz), the room temperature dissipation factor of the fast cooled and/or argon fired aluminum nitride is only slightly higher than that of the slow cooled material. At or below a few MHz there is a substantial difference in dissipation factor. The high "low frequency"

dissipation factor of high dielectric strength aluminum nitride may be a problem in some applications, but in most cases it should not be a problem. The rapidly cooled material has lower DC resistivity, but the value (about 10^{14} ohms·cm) is high enough for most electronic packaging applications. At microwave frequencies (about 12.6 GHz) the high loss samples are a factor of about 1.7 times higher in dissipation factor than the low loss samples, as shown in Table II (Example 54).

10 APPLICATIONS

High dissipation factor aluminum nitride sintered bodies may be used in a multilayer ceramic which contains only ground and power planes. Signal lines could be contained in polymer layers deposited on top of the ceramic. (This sort of ceramic/polymer configuration without high loss material is in use.) The lossy, high dielectric constant, dielectric would absorb voltage fluctuations in the supplied power, reducing noise seen by the integrated circuits. For these high power electronic applications, the ceramic operating temperature typically will reach 100 - 200°C. In this region, the high loss aluminum nitride will have dielectric constant 10 to 30 times and loss index 10 to 100 times that of conventional to low loss aluminum nitride. For this application it would be best if the high loss extended to high frequencies, but exemplified characteristics may be suitable, depending on the details of the application.

Low dissipation factor material may be used in a low frequency, high precision hybrid amplifier where the frequency dependent attenuation caused by higher loss material might produce unacceptable distortion. Examples include a high power circuit, but even a low power circuit operating over a wide temperature range might use aluminum nitride to achieve thermal expansion match.

High dielectric constant and/or high dielectric loss material, in graded or non-graded configurations, can be used in high voltage applications to reduce AC corona and reduce voltage gradients.

5

Embodiments of the Invention

Example 1

The dielectric behavior of conventional aluminum nitride ceramic was modified through an anneal step followed by a controlled cooling cycle. The conventional aluminum nitride ceramic samples were prepared by adding 5 wt% yttrium oxide (H.Stark) to aluminum nitride powder (Tokuyama Soda) with appropriate binders. This powder was then spray dried and dry pressed to form a green body, and this green body was then burned out in air at 600°C and sintered in nitrogen at 1825°C for 4 hours in a graphite furnace. The conventional aluminum nitride sample produced had a dielectric loss of between 0.001 and about 0.01, a thermal conductivity of about 175 W/m-K and the characteristic light tan color associated with aluminum nitride ceramics. To lower the dielectric loss of this sample by decreasing the population of nitrogen vacancies, this sample was heated up to a temperature of 1850°C in a graphite furnace and then cooled at a rate of 0.7°C/min. The slow cooling of this sample allowed the population of nitrogen vacancies to disappear during the cool down process. The dissipation factor of the resulting aluminum nitride sintered body was below 0.001 at 1 KHz and the final sample appeared white. The dissipation factor over a wide range of frequencies for samples prepared using this method is shown in Fig. 5.

The dielectric constant measured at four frequencies as a function of ambient temperature for samples prepared using this method is shown in Fig. 8. (Fringing corrections were

not made, so displayed values are 10 to 15% too large.) The loss index for four frequencies as a function of ambient temperature for samples prepared using this method is shown in Fig. 9. The DC resistivity as a function of ambient temperature for samples prepared using this method is shown in Fig. 10. The dielectric strength characteristics of samples prepared using this method as discussed above, are shown in Fig. 7.

10 Example 2

A sample was prepared according to the procedure of Example 1, except that cooling from the anneal temperature was at 15°C/min. The rapid cooling of this sample trapped in a large population of nitrogen vacancies. The dielectric loss of the resulting aluminum nitride sintered body at 1 KHz was above 0.01 and the sample had a dark tan appearance. The dielectric loss for samples prepared using this method is shown for a range of frequencies in Fig. 5.

20 Example 3

A sample was prepared according to the procedure of Example 1, except that cooling from the anneal temperature was at 78°C/min. The dielectric loss of the resulting aluminum nitride sintered body at 1 KHz was above 0.01 and the sample had a dark tan appearance. The dielectric loss for samples prepared using this method is shown for a range of frequencies in Fig. 5.

Example 4

30 A conventional aluminum nitride ceramic sample, with dielectric loss of between 0.001 and 0.01 and a light tan color was annealed at 1850°C for 4 hours in argon gas and then was cooled at 15°C/min. in argon gas. The low partial pressure of nitrogen gas in the argon gas atmosphere caused
35 nitrogen to leave the aluminum nitride sample at the sintering

temperature, resulting in a large population of nitrogen vacancies. This produced a sample which was dark brown and had a dissipation factor of 0.5.

5 The dielectric constant for four frequencies as a function of ambient temperature for samples prepared using this method is shown in Fig. 11. (Fringing corrections were not made, so displayed values are 10 to 15% too large.) The loss index for four frequencies as a function of ambient temperature for
10 samples prepared using this method is shown in Fig. 12. The DC resistivity as a function of ambient temperature for samples prepared using this method is shown in Fig. 10. The dielectric strength characteristics of samples prepared using this method are shown in Fig. 7.

15

Example 5

A green body prepared as in Example 1 was burned out and sintered according to the procedure described in Example 1, except that at the end of the sintering period at 1825°C, the
20 sample was cooled to 850°C at a rate of 0.7°C/minute. Upon reaching 850°C, the furnace was turned off, and the resulting aluminum nitride sintered body was white in color and had a dissipation factor of less than 0.0002.

25 Examples 6 - 56

Controlled dielectric loss sintered aluminum nitride ceramic bodies were prepared according to the present invention by controlling the cooling rate and/or cooling atmosphere either immediately following sintering, or after a post-sinter heat
30 treatment (or "refire"). Tables I and II set forth the timing of the treatment, following sintering (SINT) or refire (REF), the sintering or refire temperature, time, atmosphere, cooling rate in K/minute, the temperatures in °C at which cooling control began and ended, the resulting dissipation factor (DF)
35 as measured by pressure contact or electrode techniques at

1KHz, the thermal conductivity in W/mK, and the color of the resulting ceramic. Table II additionally sets forth the X-band Dielectric Constant and Dissipation Factor, measured at about 12 GHz frequency.

5

It is therefore demonstrated that the objects of the present invention are met by the examples as set forth above. The present invention is not to be limited by those examples however, which are provided merely to demonstrate the invention, but are to include all embodiments defined by the following claims and their equivalent embodiments.

10

TABLE I

EX. NO.	REF/ SINT.	REF/SN TEMP.	TIME -HRS	%N2	%H2	%Ar	COOL RATE	COOL START	COOL STOP	1kHz DF (pr. cont) (x 0.001)	THERMAL COND.	COLOR
6	REF	1750	64	100			15.0	1750	1500	19.3	207	TAN
7	REF	1750	16	100			15.0	1750	1500	28.0	192	TAN
8	REF	1750	4	100			15.0	1750	1500	24.0	183	TAN
9	REF	1825	16	100			15.0	1825	1500	37.8	199	DK TAN
10	REF	1750	MIN	100			15.0	1750	1500	18.5	176	LT TAN
11	REF	1750	16	100			2.0	1750	1500	3.0	193	VLT TAN
12	REF	1750	16	100			78.0	1750	1500	58.0	193	
13	REF	1850	4	100			0.7	1850	850	0.1	194	LT GREY
14	REF	1850	4	100			30.0	1850	1500	27.0	187	TAN
15	REF	1850	4	100			0.4	1850	850	0.2	201	OFF WHITE
16	REF	1850	4	0		100	15.0	1850	199	510.0	187	DK OLIVE BROWN
17	SINT	1825	4	100			0.7	1825	850	0.0	187	DK WHT
18	REF	1825	4	100			2.0	1825	850	0.2	194	LT GREY
19 ^a	SINT	1825	4	100			0.7	1825	1500	0.1	185	LT GREY
20 ^a	SINT	1825	4	100			0.7	1825	1200	0.0	189	LT GREY
21 ^c	SINT	1825	4	100			5.0	1825	1200	5.7	185	VLT TAN
22 ^d	SINT	1825	4	100			5.0	1825	850	9.0	185	VLT TAN
23	SINT	1825	4	100			2.0	1825	1200	4.3	185	VLT TAN
24	SINT	1825	4	100			2.0	1825	1500	4.6	187	YLW-TAN
25	SINT	1825	4	1		99	0.7	1825	1000	0.2	180	IVORY
26	SINT	1825	4	84	16		0.8	1825	1000	1.8	190	WHITE
27	REF	1825	4	100			0.7	1825	1500	2.0		LT TAN
28	REF	1850	4	100			0.7	1850	1500	2.0	195	LT YLW
29	SINT	1825	4	70	30		0.8	1825	1000	1.5	186	WHITE
30	REF	1825	4	95	5		0.8	1825	1000	1.5	200	LT TAN

TABLE I (Con't)

EX. NO.	REF/ SINT.	REF/SN TEMP.	TIME -HRS	%N2	%H2	%Ar	COOL RATE	COOL START	COOL STOP	1kHz DF (pr. cont) (x 0.001)	THERMAL COND.	COLOR
31	SINT	1825	4	95	5		0.8	1825	1000	5.0	191	GREY
32	REF	1825	4	100			0.7	1825	1000	0.0	203	DK WHT
33	REF	1825	4	100			0.7	1825	1000	0.0	203	DK WHT
34	REF	1825	4	100			0.7	1825	1000	0.0	204	DK WHT
35	SINT	1825	4	100			0.7	1825	1600	4.6	194	DK TAN
36	SINT	1825	4	85	15		0.8	1825	1250	0.3	189	VLT GRY
37	REF	1825	4	85	15		0.8	1825	1250	0.9	197	IVORY
38	SINT	1825	4	85	15		0.8	1825	1400	1.0	189	VLT GRY
39	REF	1825	4	85	15		0.8	1825	1400	1.5	198	VLT TAN
40	SINT	1825	4	100			0.7	1825	1350	0.3	193	VLT YLW
41	REF	1825	4	100			0.7	1825	1350	0.0	202	IVORY
42	SINT	1825	4	100			30.0	1825	1000	11.6	182	DK TAN
43	REF	1825	4	100			30.0	1825	1000	27.0	192	DK TAN
44	SINT	1825	4	100			0.7	1650	1200	0.8	188	IVORY
45	REF	1825	4	100			0.7	1650	1200	1.2	195	IVORY
46	SINT	1700	4	100			0.7	1825	1000	0.6	116	GREY
47	SINT	1825	4	85	15		30.0	1825	199	16.0	179	TAN
48	SINT	1825	4	0.1		99.9	15.0	1825	800	13.0	178	DK TAN
49	SINT	1825	4	0		100	0.7	1825	1000	5.0	182	DK TAN
50	REF	1650	16	100			0.7	1650	1000	1.0	191	DK CRM
51	REF	1750	8	0		100	15.0	1750	199	215.0	193	BROWN
52	REF	1750	4	100			0.7	1750	1000	0.6	190	LT CRM

* 1 kHz DF (electrode) = 0.2 x (0.001)

b 1 kHz DF (electrode) = 0.1 x (0.001)

c 1 kHz DF (electrode) = 5.4 x (0.001)

d 1 kHz DF (electrode) = 10.0 x (0.001)

CRM = Cream

DK = Dark

LT = Light

VLT = Very Light

YLW = Yellow

WHT = White

TABLE II

EX. NO.	REF/ SINT.	REF/SN TEMP.	TIME -HRS	%N2	%H2	%Ar	COOL RATE	COOL START	COOL STOP	1kHz DF (pr. cond) (x 0.001)	THRML COND.	COLOR	1 kHz DF DIELECTRIC CONSTANT	X-BAND DF (x 0.001)	FREQUENCY (GHz)
53	REF	1750	4	85	15		78.0	1750	800	52.0	182	DK YLW TAN	8.58	2.5	12.6
54	REF	1750	4	0		100	15.0	1750	1000	227.0	179	BROWN	8.59	4.2	12.6
55	REF	1750	16	85	15		78.0	1750	1000	55.0	191	DK YLW TAN	8.56	2.4	12.6
56	REF	1750	4	100			0.7	1750	1000	0.3	188	WHITE	8.57	2.5	12.6

We Claim:

1 1. A process for producing a controlled dielectric loss,
2 sintered aluminum nitride body, comprising heat treating an
3 aluminum nitride body at sintering temperatures, including
4 providing a heat treatment atmosphere which effects a selected
5 nitrogen vacancy population in the aluminum nitride body at
6 said sintering temperatures, and cooling the aluminum nitride
7 body from said sintering temperatures at a controlled rate and
8 in a cooling atmosphere effective to control the selected
9 nitrogen vacancy population, wherein said heat treating is
10 selected from i) sintering a green body containing aluminum
11 nitride and sintering additives; and, ii) refiring a sintered
12 aluminum nitride body.

1 2. The process as in claim 1, including controlling at least
2 one of said cooling rate to a quench temperature and said
3 cooling atmosphere, to maintain a nitrogen vacancy population
4 below the quench temperature greater than sintered aluminum
5 nitride body conventional nitrogen vacancy population below
6 the quench temperature of conventionally sintered and cooled
7 aluminum nitride.

1 3. The process as in claim 2, wherein the cooling rate to
2 about 1500°C is greater than or equal to 5 K/minute,
3 preferably greater than or equal to 15 K/minute.

1 4. The process as in claim 2, wherein the cooling atmosphere
2 is selected from i) a nitrogen deficient atmosphere,
3 preferably wherein the partial pressure of nitrogen is less
4 than or equal to about 0.1%; ii) an inert gas, preferably
5 argon; and iii) substantially a vacuum.

1 5. A high dielectric loss sintered aluminum nitride body,
2 prepared by the process of claim 2, having a dissipation
3 factor measured at room temperature at about 1 KHz of greater
4 than about 0.01, preferably greater than about 0.1.

1 6. The process as in claim 1, including controlling at least
2 one of said cooling rate to a quench temperature and said
3 cooling atmosphere, to reduce the nitrogen vacancy population
4 below the quench temperature to less than the sintered
5 aluminum nitride body conventional nitrogen vacancy population
6 below the quench temperature of conventionally sintered and
7 cooled aluminum nitride.

1 7. The process as in claim 6, wherein the cooling rate to
2 the quench temperature in a nitrogen containing atmosphere is
3 less than or equal to about 2 K/minute, preferably less than
4 or equal to about 1 K/minute.

1 8. A low dielectric loss sintered aluminum nitride body,
2 prepared by the process of claim 6, having a dissipation
3 factor measured at room temperature at about 1 KHz of less
4 than or equal to about 0.001, preferably less than or equal to
5 about 0.0003.

1 9. A controlled dielectric loss, sintered aluminum nitride
2 body having a density of greater than about 95% theoretical,
3 a thermal conductivity of greater than about 100 W/m-K, and a
4 dissipation factor measured at room temperature at about 1 KHz
5 selected from:

- 6 (a) less than or equal to about 0.001; and
7 (b) greater than or equal to about 0.01.

1 10. A low dielectric loss sintered aluminum nitride body as
2 in claim 9, having a dissipation factor measured at room
3 temperature at about 1 KHz of less than about 0.001,
4 preferably less than or equal to about 0.0003.

1 11. The low dielectric loss sintered aluminum nitride body of
2 claim 10, wherein the aluminum nitride body is substantially
3 white to ivory in color.

1 12. A low dielectric loss sintered aluminum nitride body of
2 claim 9 having a dissipation factor measured at room
3 temperature at about 1 KHz of less than or equal to about
4 2×10^{-4} to about 1×10^{-4} .

1 13. A low dielectric loss sintered aluminum nitride body of
2 claim 9 having a DC Resistance at room temperature of 10^{14}
3 ohms.

1 14. A low dielectric loss sintered aluminum nitride body of
2 claim 9 having a DC Resistivity of greater than or equal to
3 10^{14} ohms-cm over the temperature range of room temperature to
4 about 150°C.

1 15. A high dielectric loss sintered aluminum nitride body as
2 in claim 9, having a dissipation factor measured at room
3 temperature at about 1 KHz of greater than about 0.01,
4 preferably greater than or equal to about 0.1.

1 16. The high dielectric loss sintered aluminum nitride body
2 of claim 15, wherein the aluminum nitride body is brown in
3 color.

1 17. A high dielectric loss sintered aluminum nitride body of
2 claim 9, having a dissipation factor measured at room
3 temperature at about 1 KHz of at least about 0.5.

1 18. A high dielectric loss sintered aluminum nitride body of
2 claim 9, having a breakdown voltage greater than that of
3 conventional and low dielectric loss sintered aluminum
4 nitride.

1 19. The high dielectric loss sintered aluminum nitride body
2 of claim 18, having a breakdown voltage for a 0.25mm sample of
3 greater than about 39 kV/mm as defined by the 5% failure point
4 of a Weibull plot.

1 20. The high dielectric loss sintered aluminum nitride body
2 of claim 19, having a Weibull modulus of a greater than about
3 16.

1 21. A low dielectric loss sintered aluminum nitride body of
2 claim 9, wherein said dielectric loss is within the range of
3 about 1×10^{-3} to about 1×10^{-5} .

1 22. A sintered aluminum nitride body having a nitrogen
2 vacancy population at room temperature corresponding to the
3 nitrogen vacancy population of the aluminum nitride body at a
4 temperature of greater than about 1500°C in a substantially
5 argon atmosphere.

1 23. The sintered aluminum nitride body of claim 22 having a
2 nitrogen vacancy population resulting in a dissipation factor
3 measured at room temperature at about 1 KHz that is greater
4 than about 0.01.

1 24. An electrostatic chuck for gripping a semiconductor
2 wafer, having at least one electrostatic grip comprising an
3 insulator of low dielectric loss sintered aluminum nitride of
4 claim 9 having at least one electrode embedded therein,
5 wherein said low dielectric loss sintered aluminum nitride is
6 resistive at temperatures of about 400°C to about 500°C.

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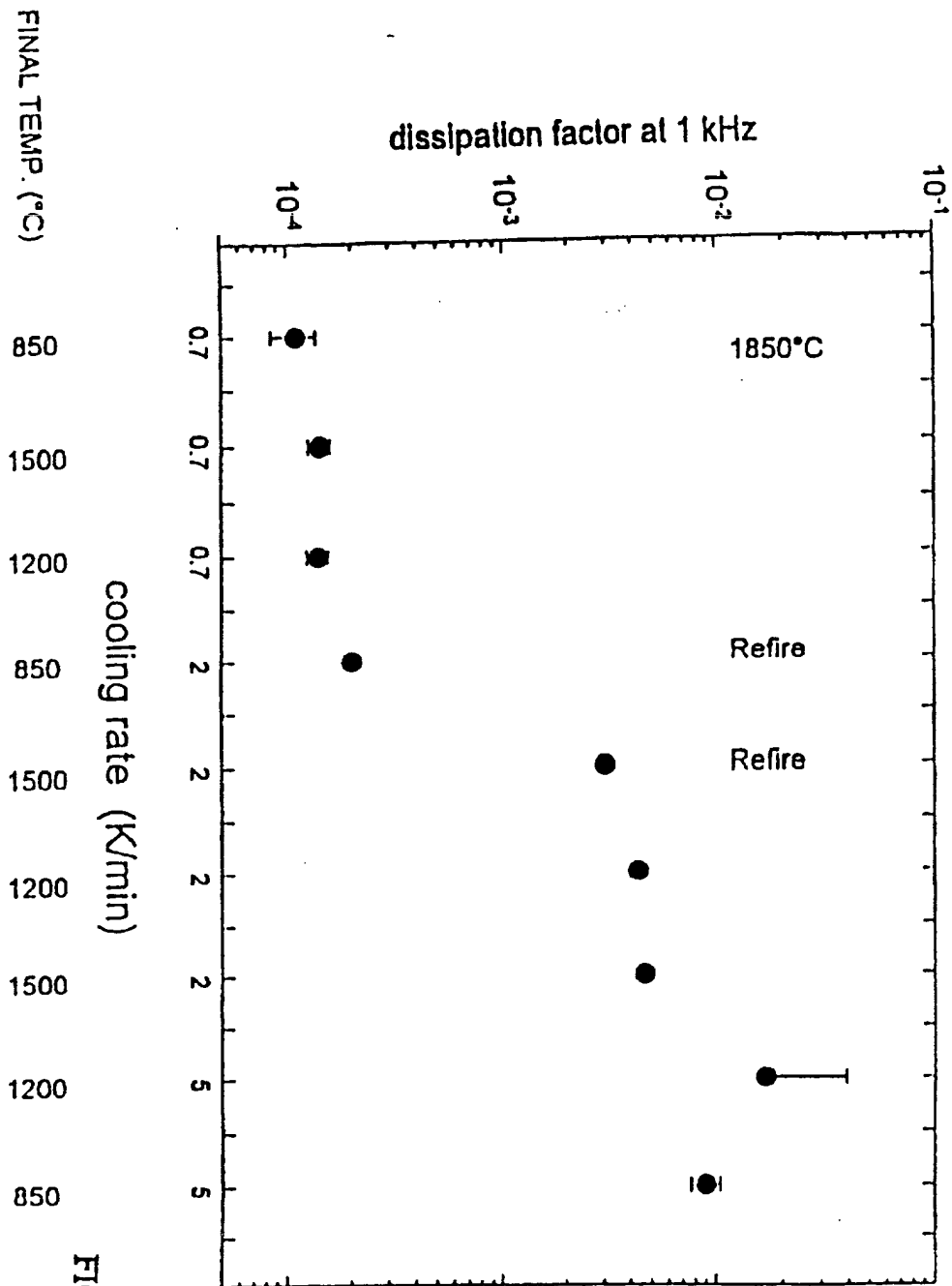


FIG. 1

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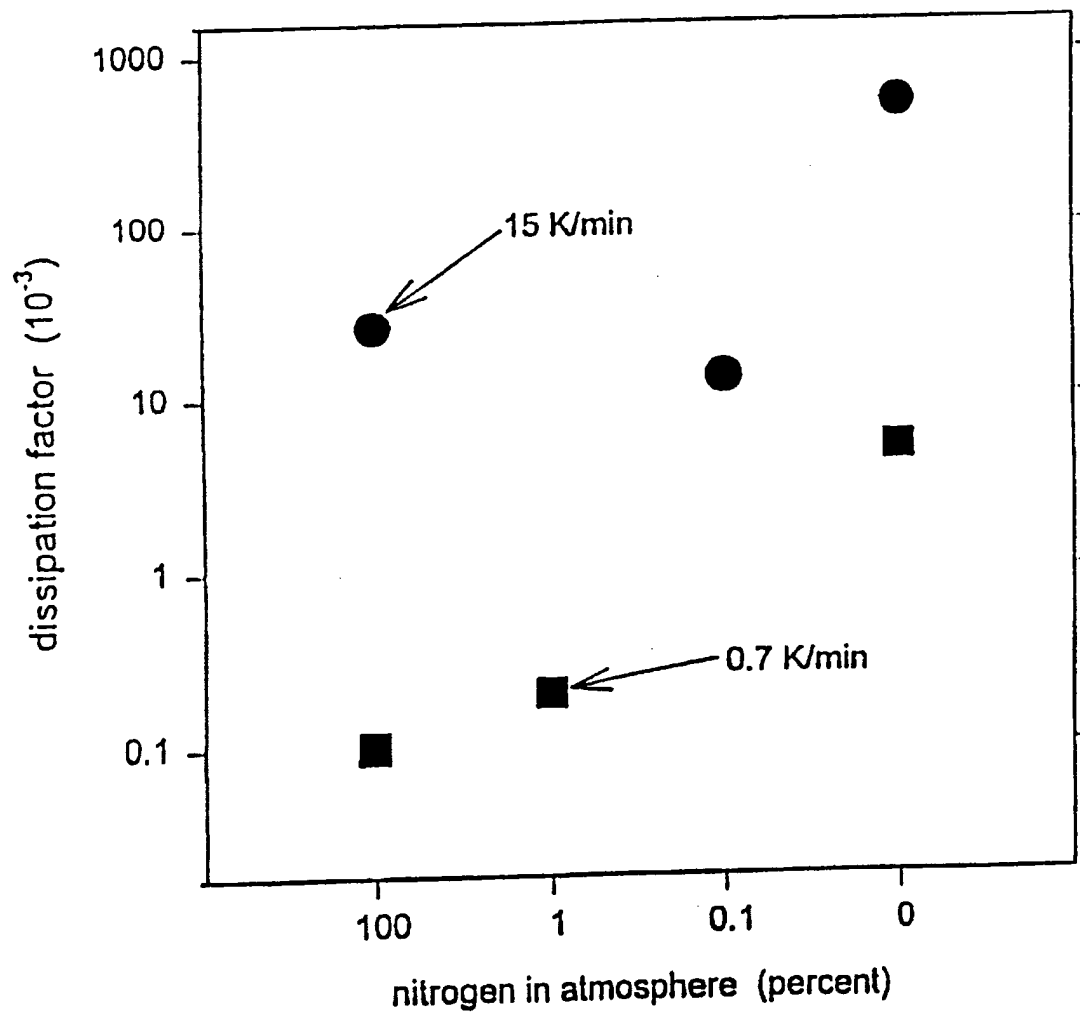


FIG. 2

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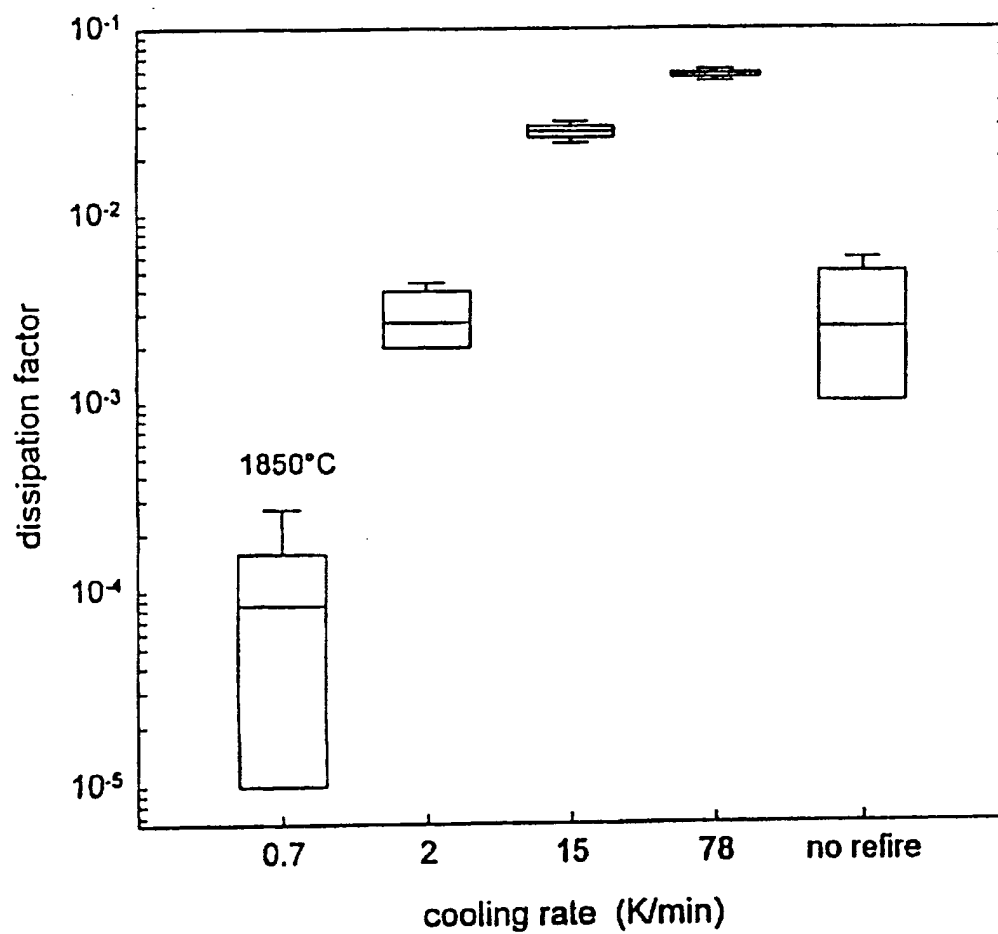


FIG. 3

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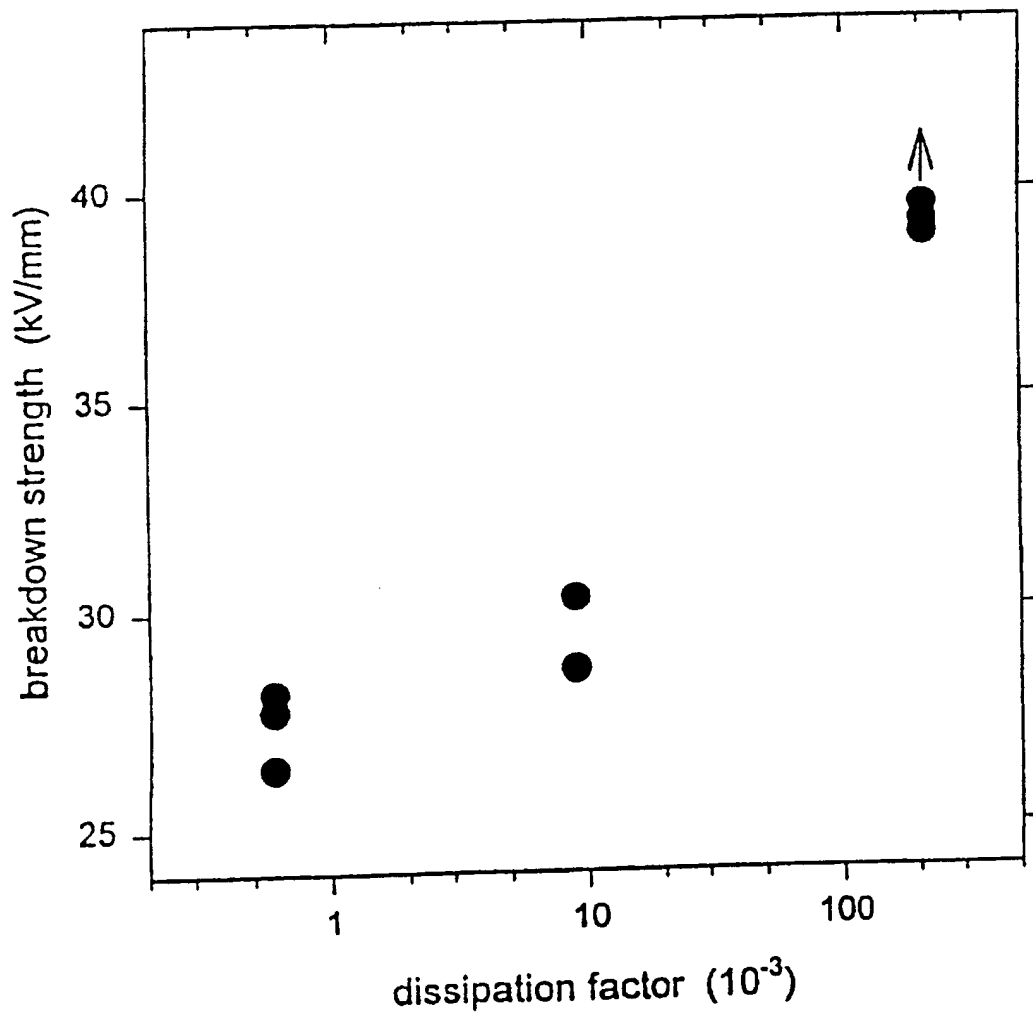


FIG. 4

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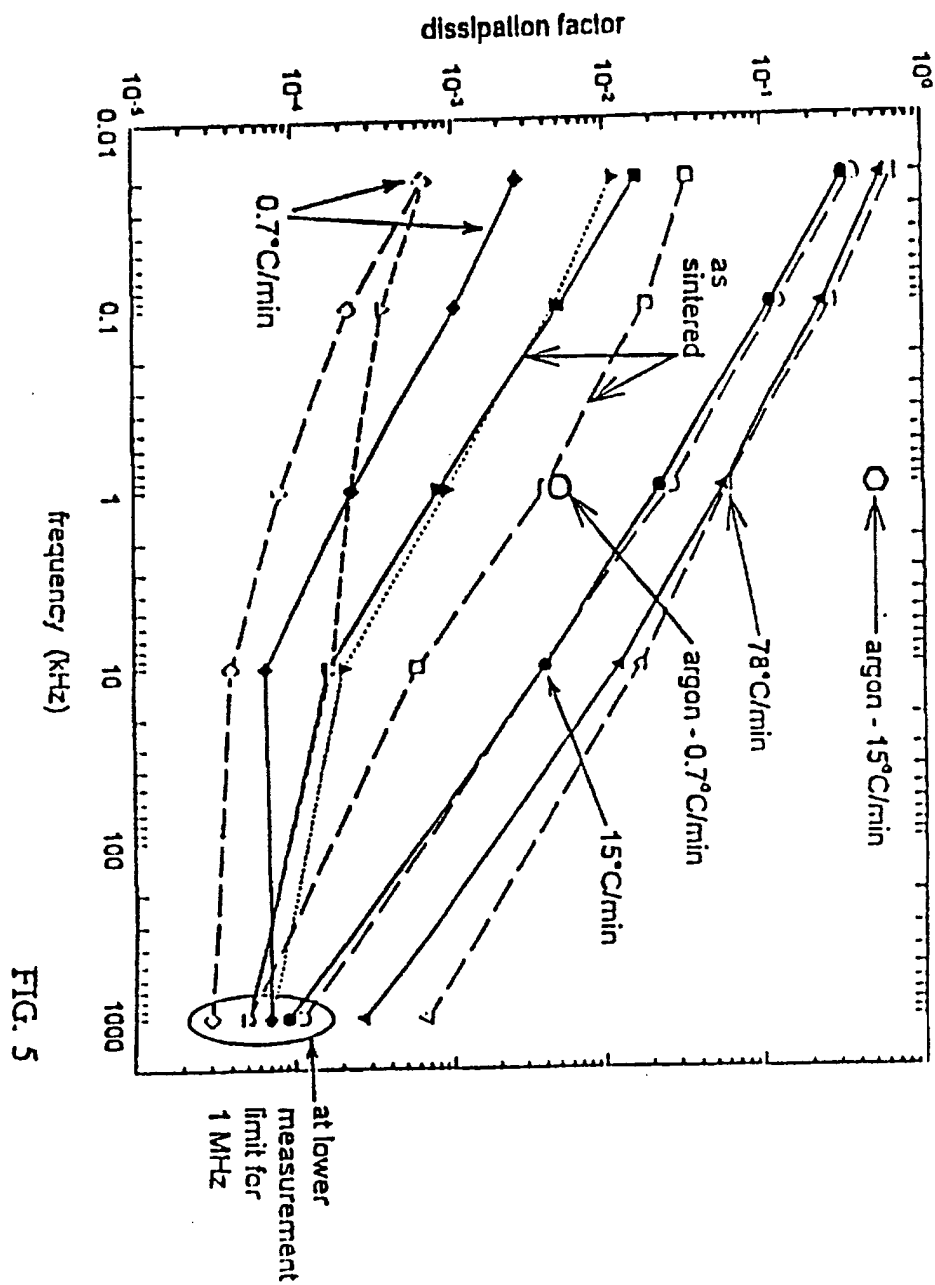


FIG. 5

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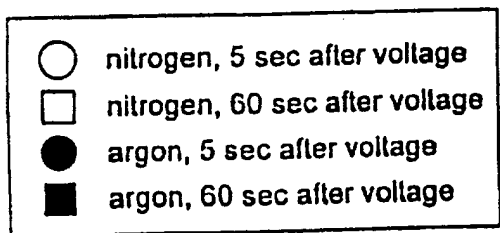
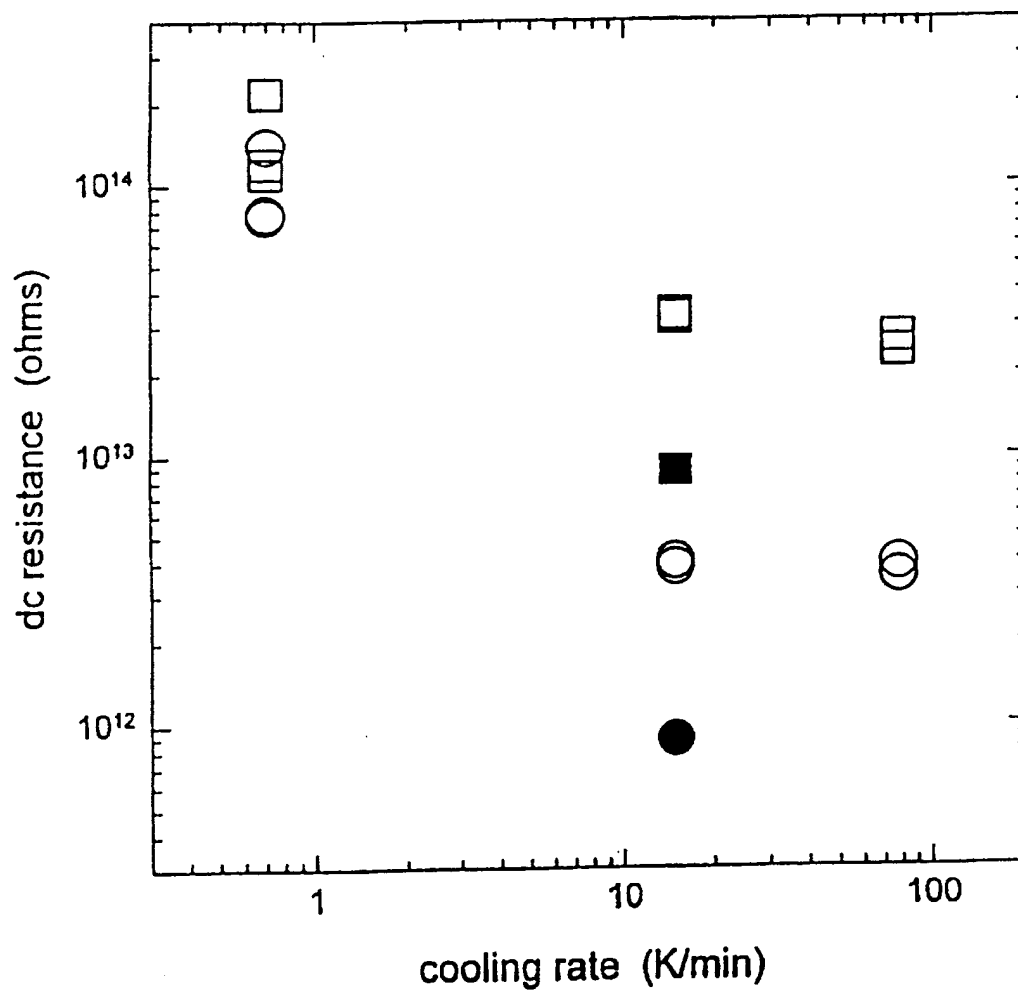


FIG. 6

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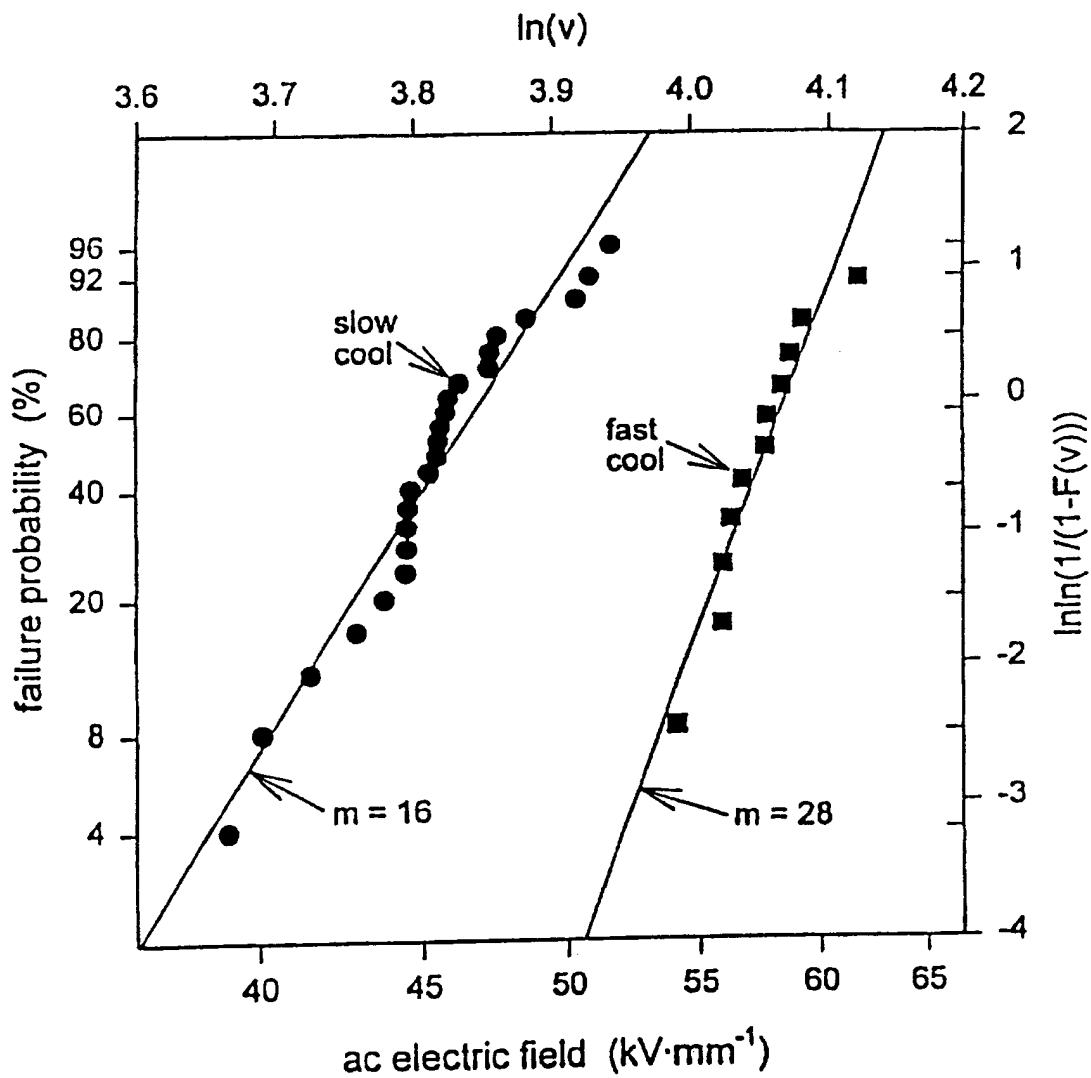


FIG. 7

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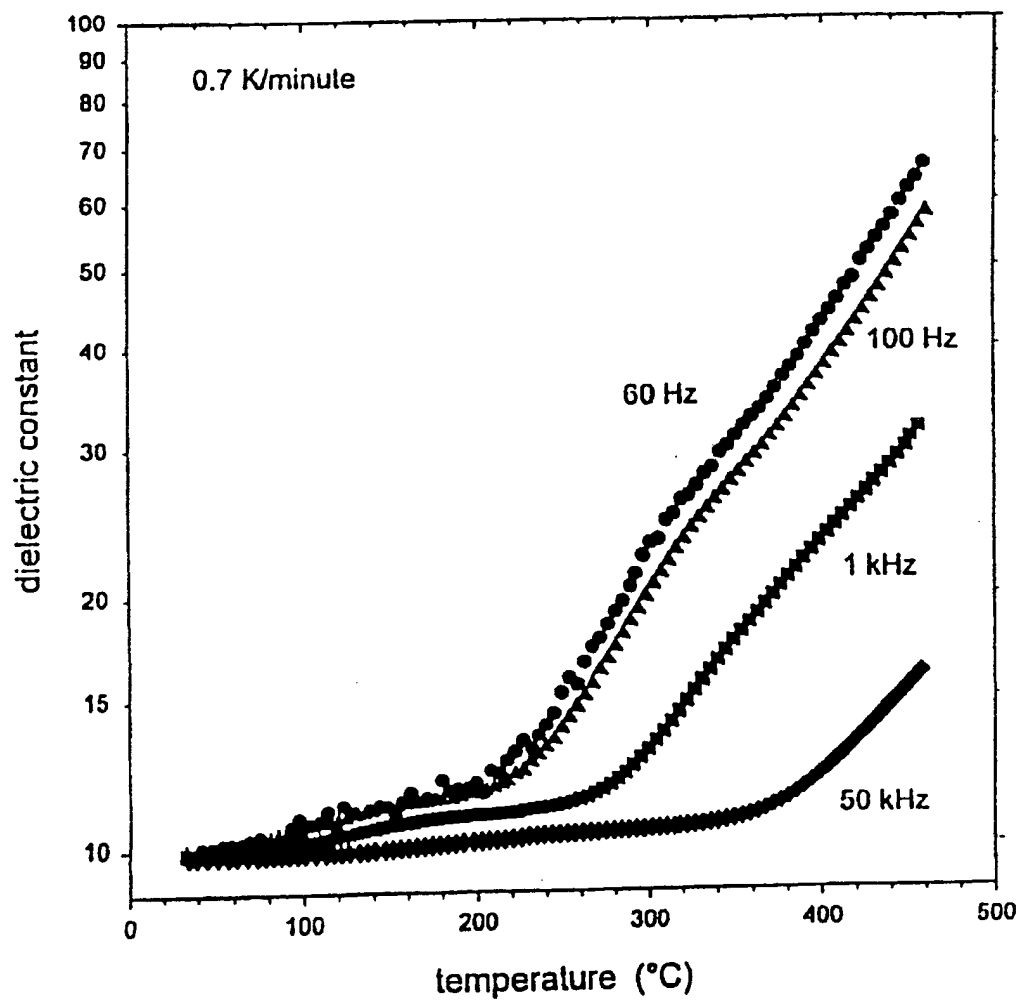


FIG. 8

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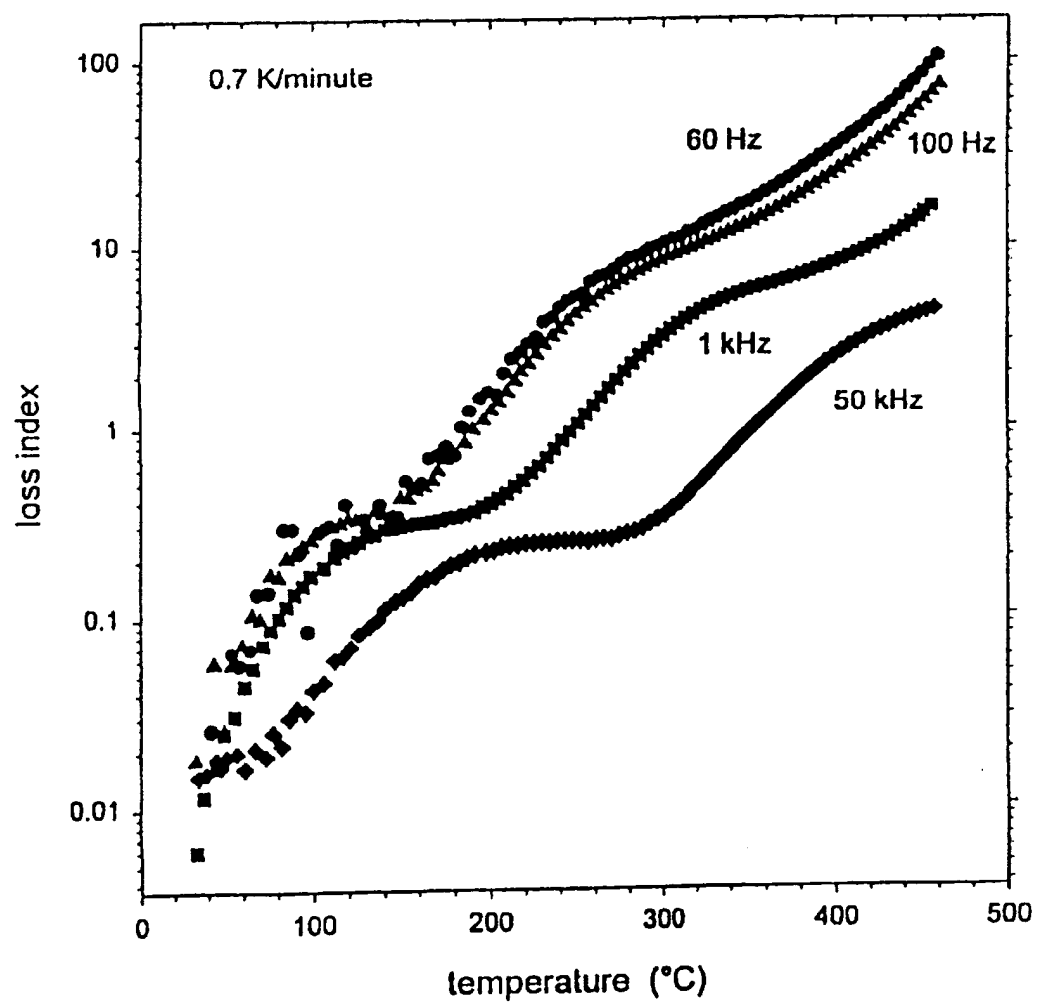


FIG. 9

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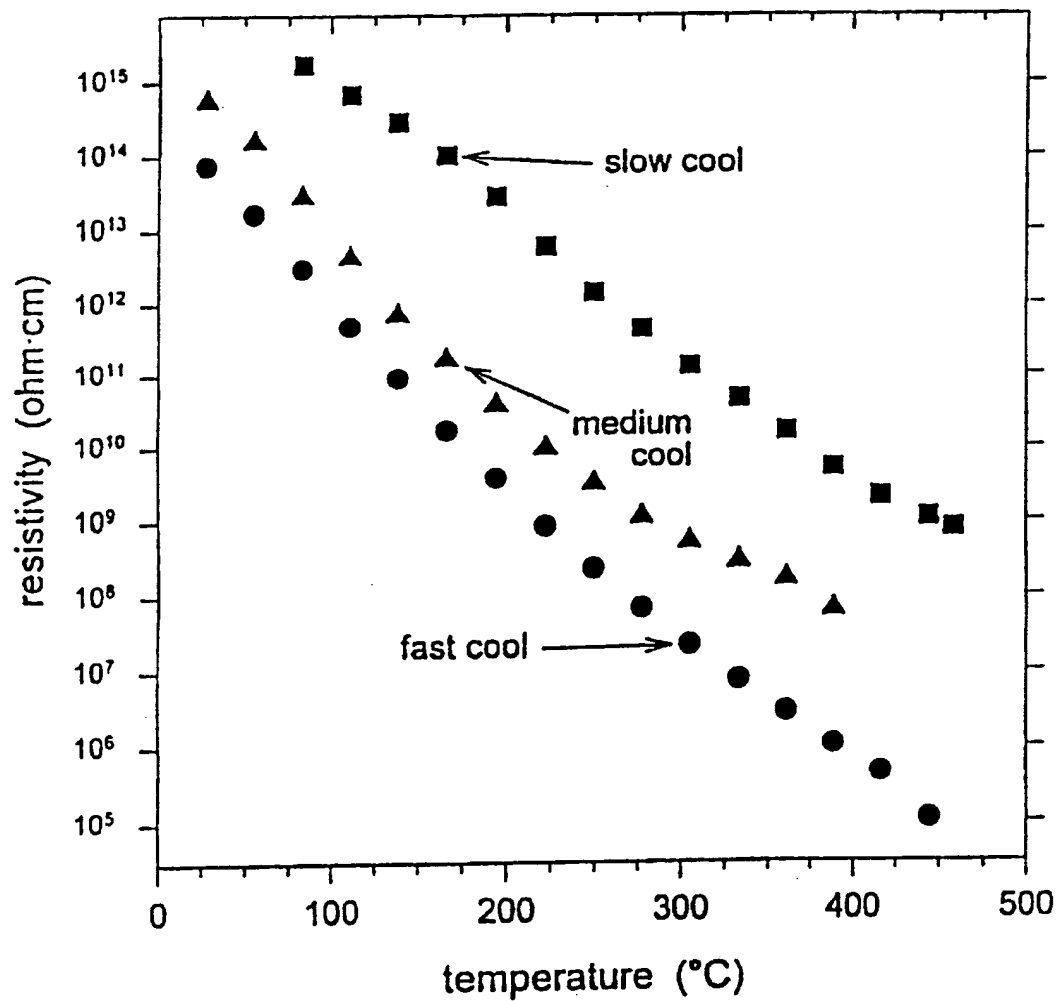


FIG. 10

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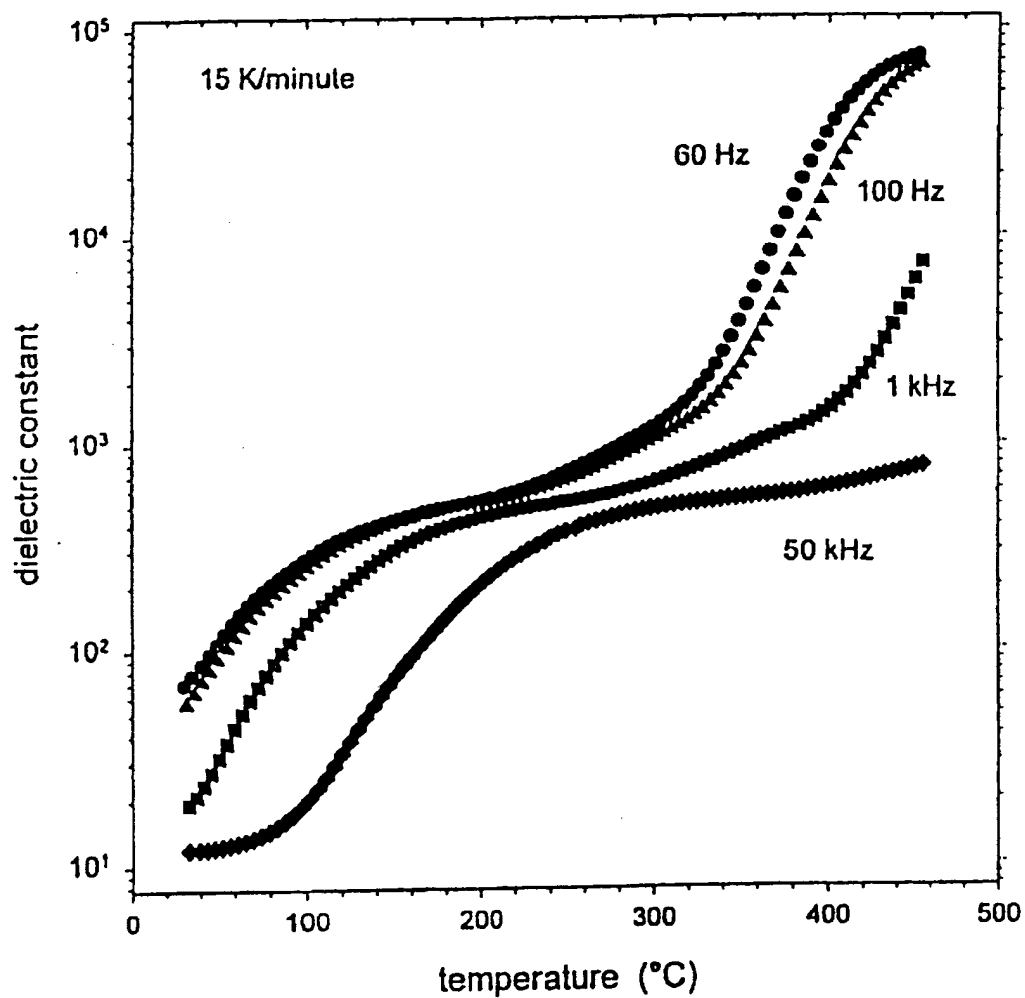


FIG. 11

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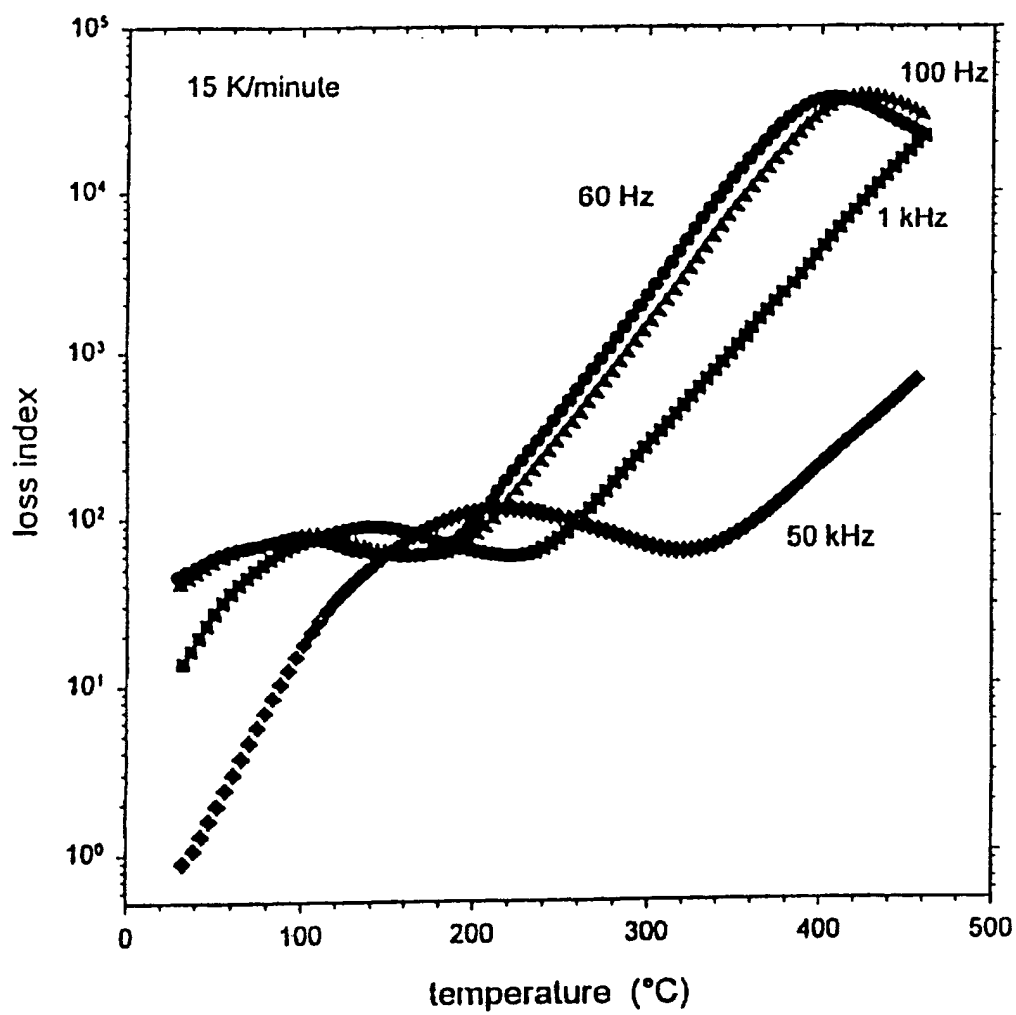


FIG. 12

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/04785

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C04B 35/58, 35/581; F27B 9/10; B23Q 3/15;

US CL : 501/96, 98, 153; 264/65, 66; 156/89, 598; 279/128; 361/230, 234

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 501/96, 98, 153; 264/65, 66; 156/89, 598; 279/128; 361/230, 234

IPC(6): C04B 35/58

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
NONE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,320,990 A (GUITON ET AL.) 14 JUNE 1994 (14/06/94), SEE COLUMN 3, LINE 23-COLUMN 5, LINE 32 AND THE CLAIMS.	1,2,4,6,22
Y	US 4,778,778 A (MALLIA ET AL.) 18 OCTOBER 1988 (18/10/88), SEE THE ABSTRACT AND THE CLAIMS.	1,2,4,6,22
P, Y	US 5,541,145 A (HARRIS ET AL.) 30 JULY 1996 (30/07/96), SEE COLUMN 6, LINE 64-COLUMN 13, LINE 30 AND THE CLAIMS.	1,2,4-6, 8-23
Y	US 5,413,360 A (ATARI ET AL.) 09 MAY 1995 (09/05/95), SEE THE CLAIMS.	24

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	A*	document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means		
P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 06 JUNE 1997	Date of mailing of the international search report 09 JUL 1997
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer MICHAEL MARCHESCHI Telephone No. (703) 308-0661